

KYC FORM

1. Client

Form prepared by:			
For individuals		For legal person	
Family Name / First Name		Company Name	
Date of Birth		Incorporation Date	
Address		Address	
Nationality		Purpose	
Tel.		Tel.	
Fax		Fax	
E-Mail		E-Mail	
For individuals only: The Client coincides with the Beneficial Owner?		Yes, - If coincide, <u>must</u> answer from point 3 No. – If not, must answer from point 2	

2. Information related to the Beneficial Owner(s)

Family Name / First Name		Date of Birth	
Address		Nationality	

3. Description of the Beneficial Owner(s) professional activities

Profession, business (ex. information on the companies involved and the planned activities)	
Previous work / profession	
Name and website of previous employers, if any	
Name and website of current business, if any	

4. Financial Situation of the Beneficial Owner(s)

- a) Annual Income : < 300'000 USD 300'000 – 1 Mil. USD > 1 Mil. USD
- b) Wealth: < 1 Mio. USD 1 - 5 Mil. USD > 5 Mil. USD
- c) Expected turnover of the transactions: < 500'000 USD 500'000 – 2 Mil. USD > 2 Mil. USD
 Unknown.

Comments (if any):

5. Sources of assets

Sources from the entrepreneurial activities described above.

Sources from family wealth.

Sources from the inheritance of parents/grandparents.

Others – please specify:

6. Higher risk business relationship

The Client or the Beneficial Owner is a Politically Exposed Person (“PEP”) or is closely associated with a PEP

The Client and/or its source of funds reside or operate in or are connected with a Higher Risk Jurisdiction

Remote opening/maintaining of relationship

none of the 3 criteria above

More comments:

